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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/901,988	07/10/2001	Clive Tang	59864.00660	7923
32294 75	90 06/02/2006		EXAMINER	
SQUIRE, SANDERS & DEMPSEY L.L.P.			GHEBRETINSAE, TEMESGHEN	
14TH FLOOR 8000 TOWERS	CRESCENT	ART UNIT	PAPER NUMBER	
TYSONS COR	NER, VA 22182		2611	
			DATE MAILED: 06/02/2000	5

Please find below and/or attached an Office communication concerning this application or proceeding.

-		Application No.	Applicant(s)	<i>y</i>
Office Action Summary		09/901,988	TANG, CLIVE	
		Examiner	Art Unit	
		Temesghen Ghebretinsae	2611	
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	correspondence address	
WHIC - Exte after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANSIONS of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. O period for reply is specified above, the maximum statutory period ware to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be timediately and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communica D (35 U.S.C. § 133).	·
Status				
1)⊠ 2a)□ 3)□	Responsive to communication(s) filed on <u>21 Martines</u> This action is <b>FINAL</b> . 2b) This Since this application is in condition for alloward closed in accordance with the practice under <i>E</i>	action is non-final.  nce except for formal matters, pro		s is
Dispositi	ion of Claims			
4)⊠ 5)□ 6)⊠ 7)□ 8)□ Applicati 9)□ 10)□	Claim(s) 1-11 and 13-21 is/are pending in the aday Of the above claim(s) is/are withdraw Claim(s) is/are allowed.  Claim(s) 1-11 and 13-21 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction and/or are subject to restriction and/or are subjected to by the Examiner The drawing(s) filed on is/are: a) access Applicant may not request that any objection to the or Replacement drawing sheet(s) including the correction of the oath or declaration is objected to by the Examiner The oath of the oath o	r. election requirement. epted or b) objected to by the Edrawing(s) be held in abeyance. See on is required if the drawing(s) is obj	e 37 CFR 1.85(a). ected to. See 37 CFR 1.12	• •
	ınder 35 U.S.C. § 119			
12) <u></u> a)[	Acknowledgment is made of a claim for foreign  All b) Some * c) None of:  1. Certified copies of the priority documents  2. Certified copies of the priority documents  3. Copies of the certified copies of the priori application from the International Bureau see the attached detailed Office action for a list of	have been received. have been received in Application ity documents have been receive (PCT Rule 17.2(a)).	on No ed in this National Stage	
2) 🔲 Notice 3) 🔲 Inforn	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) r No(s)/Mail Date	4) Interview Summary ( Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:		

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#### **DETAILED ACTION**

1. It would be of great assistance to the Office if all incoming papers pertaining to a filed application carried the following items:

- 1. Application number (checked for accuracy, including series code and serial no.).
- 2. Group art unit number (copied from most recent Office communication).
- 3. Filing date.
- 4. Name of the examiner who prepared the most recent Office action.
- 5. Title of invention.
- 6. Confirmation number (See MPEP § 503).

### Response to Arguments

2. Applicant's arguments, see page 2-7, filed 3/21/06, with respect to the rejection(s) of claim(s) 1-11,13-21 under final rejection have been fully considered and are persuasive. Therefore, **the final rejection has been withdrawn**. However, upon further consideration, a new ground(s) of rejection is made in view of Warner (6,498,510) and Le-Ngoc (6,714,551).

# Claim Rejections - 35 USC § 101

3. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claim 21 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Although the claim is an apparatus claim, the claim is seeking protection to the claimed algorithm (linear reward inaction learning algorithm). There is no practical application being claimed. The word "satisfy performance criteria" does not make the claimed invention statutory.

# Claim Objections

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4. Claim 21 is objected to because of the following informalities: the (.) after the word channel in line 2 should be deleted. Appropriate correction is required.

# Claim Rejections - 35 USC § 112

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claims 14 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 14, "the inputs" lack clear antecedent basis.

#### Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 8. Claims1-11,13-21 are rejected under 35 U.S.C. 102(e) as being anticipated by Le-Ngoc (6,714,551).
- 9. Le-Ngoc discloses an apparatus for comprising a calculator (406,402,440) configured to select the at least first switched threshold (see fig.4 and 6). The selected one of the first switched threshold by the calculator maximizes the first performance criteria while satisfying the second performance criteria as claimed in claim 2. The

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received indications comprises an error indicia as claimed in claim 3; the error indicia to which the calculator is coupled to receive comprises a bit (or frame) error rate indication as clamed in claim 4; (see abstract,col.7, lines 40-54). The data transmitted by the first communication station is transmitted to a second communication station and the modulation parameter is selected prior to transmission of the subsequent data from by the first communication station and the selected modulation parameter comprises an encoding rate and modulation type as claimed in claims 5-11,16-18.(see col. 7, line 55 col. 8, line 16; col. 9, line 66- col. 10, line 33; and claim 6)

- 10. As per claims 13-15,19-21. Le-Ngoc does not call his algorithm LRI but does have an algorithm which functions the same as the present claimed invention which provides adaptive switching threshold to be used in the selection of modulation parameter, such as modulation type and encoding level; and the calculator (406,402,404) do receive indicia representative of an error rate indicia and through put indicia as claimed in claim 13-15,19-21.(see abstract, col.2, lines 27-37 and col. 7 lines 40-54)
- 11. Claims 1 –2 are rejected under 35 U.S.C. 102(e) as being anticipated by Warner (6,498,510).

Warner discloses an adaptive threshold logic circuit adapted to receive indications of selected communication indicia associated with communication characteristics of the communication channel for dynamically selecting at least first switching threshold (see abstract, fig. 4 and claim 1).

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#### **Conclusion**

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Temesghen Ghebretinsae whose telephone number is 571-272-3017. The examiner can normally be reached on Monday-Friday from 8 to 6. The examiner can also be reached on alternate.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jay Patel, can be reached on 571-272-2988. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Temesghen Ghebretinsae
Primary Examiner
Art Unit 2611
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